

#5  
14 Dec 01  
P. Tally

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

jc868 U.S. PTO  
09/851602  
05/09/01



In re Application of: )  
**Michael W. Medin et al.** )  
 Serial No.: **Unassigned** )  
 Filed: **Unassigned** )  
 For: **System and Method for Passively** )  
**Aligning and Coupling Optical Devices** )

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, DC 20231

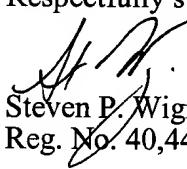
May 9, 2001

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

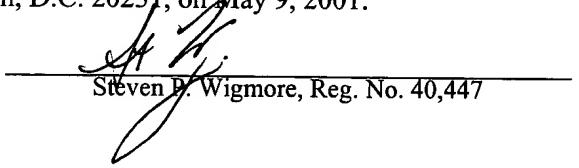
The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

  
 Steven P. Wigmore  
 Reg. No. 40,447

King & Spalding  
 45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
 Atlanta, GA 30303  
 404.572.4600  
 K&S Docket: 06948.105024

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EE859733056US addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on May 9, 2001.

  
 Steven P. Wigmore, Reg. No. 40,447

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

jc868 U.S. PRO  
09/851602  
05/09/01


ATTY. DOCKET NO.  
06948.105024SERIAL NO.  
Not Yet AssignedFILING DATE  
Not Yet AssignedAPPLICANT  
Michael W. Medin et alGROUP  
Not Yet Assigned

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA		Michael J. Robertson, Ian F. Lealman, and John V. Collins, <i>The Expanded Mode Laser—A Route to Low Cost Optoelectronics</i> , IEICE Trans. Electron., Vol. E80-C, No. 1, January 1997, pgs. 17-23
AB		Yoshio Itaya et al., <i>Spot-Size Converter Integrated Laser Diodes (SS-LDs)</i> , IEICE Trans. Electron., Vol. E80-C, No. 1, January 1997, pgs. 30-36
AC		S.S. Saini, et al. , <i>Compact Mode Expanded Lasers Using Resonant Coupling Between a 1.55-<math>\mu</math>m InGaAsP Tapered Active Region and an Underlying Coupling Waveguide</i> , IEEE Photonics Technology Letters, Vol. 10, No. 9, September 1993
AD		Regis S. Fan and R. Brian Hooker, <i>Tapered Polymer Single-Mode Waveguides for Mode Transformation</i> , Journal of Lightwave Technology, Vol. 17, No. 3, March 1999, pgs. 466-474.
AE		
AF		
AG		
AH		
AI		
AJ		
AK		
AL		
AM		
AN		
AO		
AP		
AQ		
AR		
AS		
AT		
AU		
AV		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.